

**KAMLA NEHRU INSTITUTE OF TECHNOLOGY
SULTANPUR (U.P.)-228118**

***Performance Based Appraisal System (PBAS)*
Academic Performance Indicators (API)
(Research, Publications and Academic Contributions)
Advertisement No.: 01/3/Adv.(5F)/2017 Dated: 18.05.2017**

1. Research Papers Published

1. A. Research Publications in Journals (Latest to oldest)

1. A. (i). National Journals

Sr. No.	Title with Page Nos.	Journal	ISSN/ISBN No.	Whether Peer-Reviewed Impact Factor (If any)	No. of Co-authors	First/Principal/Corresponding/Supervisor/Mentor/Other Author	API Claim	API Verified By the Institute
1.								
2.								
3.								
4.								

1. A. (ii). International Journals

Sr. No.	Title with Page Nos.	Journal	ISSN/ISBN No.	Whether Peer-Reviewed Impact Factor (If any)	No. of Co-authors	First/Principal/Corresponding/Supervisor/Mentor/Other Author	API Claim	API Verified By the Institute
1.								
2.								
3.								
4.								

1. B. Research Publications in Conference Proceedings as Full papers

1. B. (i). National Conferences

Sr. No.	Title with Page Nos.	Details of Conference Publication	ISSN/ISBN No.	No. of Co-authors	First/Principal/Corresponding/Supervisor/Mentor/Other Author	API Claim	API Verified By the Institute
1.							
2.							
3.							
4.							
5.							

1. B. (ii). International

Sr. No.	Title with Page Nos.	Details of Conference Publication	ISSN/ISBN No.	No. of Co-authors	First/Principal/Corresponding/Supervisor/Mentor/Other Author	API Claim	API Verified By the Institute
1.							
2.							
3.							
4.							
5.							

Total API under Point 1:

2. Research Publications (Books, Book Chapters, other than refereed Journal articles)

2. A. Books Published as Author or Editor

Sr. No.	Title of the Book	Type of Book International /National/State/Local	Editor & Publisher ISSN/ISBN No	Whether Peer Reviewed	No. of Co-authors	Main / Co-author	API Claim	API Verified by the Institute
1.								
2.								
3.								
4.								
5.								

2. B. Articles / Chapters in Books

Sr. No.	Title with Page Nos.	Book Title Editor & Publisher	ISSN/ISBN No	Whether Peer Reviewed	No. of Co-authors	Main / Co-author	API Claim	API Verified By the Institute
1.								
2.								
3.								
4.								
5.								

Total API under Point 2:

3. Ongoing and Completed Research Projects and Consultancies

3. A. Completed and Ongoing Research Projects

Sr. No.	Title of the Project	Funding Agency	Duration		Grant/Amount mobilized (Rs. lakhs)	Principal Investigator / Co- Investigator	Ongoing/ Completed*	API Claim	API Verified by the Institute
			from	to					
1.									
2.									
3.									
4.									

*If completed, please furnish Completed Project Report

3. B. Completed and Ongoing Consultancy Projects

Sr. No.	Title of Project	Funding Agency	Duration		Grant/Amount mobilized (Rs. lakhs)	Principal Investigator / Co- Investigator	Ongoing/ Completed*	API Claim	API Verified by the Institute
			from	to					
1									
2									
3									
4									

*If completed, please furnish Completed Project Report

3. C. Projects Outcome/Outputs

Sr. No.	Patent/Technology Transfer/ Product/Process	Description	Funding Agency	Duration		Outcome (furnish details if any)	National /International	API Claim	API Verified By the Institute
				from	to				
1									
2									
3									
4									

Total API under Point 3:

4. Research Guidance

4. A. Guidance of PG Thesis of minimum 1 year duration in full time (Degree awarded)

Sr. No.	Session	ME/M. Tech /M. Phil	Title of Thesis	Name of students	Date of award	Name of the Co-guide if any	API Claim	API Verified By the Institute
1.								
2.								
3.								
4.								

4. B. Guidance of Ph.D. Thesis

Sr. No.	Title of Thesis	Name of the Scholar	Guide/ Co-guide?	Name of Co-guide (s)	Submitted/ Awarded?	Date of submission /award	API Claim	API Verified By the Institute
1.								
2.								
3.								
4.								

Total API under Point 4:

5. Training Courses, Teaching-Learning-Evaluation Technology Programmes, FDP/Conference/Seminar/Workshop etc.

5. A. Refresher courses, Methodology Workshops, Training, Teaching- Learning-Evaluation Technology Programmes, Soft Skills Development Programmes, Faculty Development Programmes

Sr. No.	Type and Name of the Programme	Organizer	Duration		No. of weeks	API Claim	API Verified By the Institute
			from	to			
1.							
2.							
3.							
4.							

Total API under Point 5.A. (maximum 30 points):
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5. B. Papers Presented in Conferences /Seminars/Workshops/Symposia etc.

Sr. No.	Title of the Conference/ Workshop/ Seminar	Organized By	Title of the Paper Presented	Main Author /Co-Author	Participated /Presented	International /National/ State/Local	Duration		API Claim	API Verified By the Institute
							from	to		
1.										
2.										
3.										
4.										

5. C. Organization of Conferences/Seminars/Workshops etc. of minimum 1 week duration

Sr. No.	Title of the Conference/Workshop/Seminar	Organized by	Post/Responsibility	International/National/ State /Local	Duration		API Claim	API Verified By the Institute
					from	to		
1.								
2.								
3.								
4.								

5. D. Invited Lectures, Chairmanship or Presentations for Conferences/Seminars/Symposia

Sr. No.	Title of the Conference/ Workshop/Seminar /Symposia	Organized by	Title of the Lecture	Main Author /Co- Author	International /National /State //Local	Duration		API Claim	API Verified By the Institute
						from	to		
1.									
2.									
3.									
4.									

Total API under Point 5:

Cumulative API under Category III:

I hereby certify that the above API points claimed are correct to the best of my knowledge.

Signature

NOTE:- Minimum API (Academic Point Indicator) points required under Research and Academic Contributions, after capping, shall be minimum of 300 for the post of Associate Professor and 400 for Professor.

Guidelines for filling up Performance Based Appraisal System (PBAS) form for the calculation of Academic Performance Indicators (Research and Academic Contributions)

1. Research Papers published

S. No.	APIs	Engineering/ Technology/ Science/ Architecture/ Pharmacy	Management/Languages/ Arts /Humanities/ Social Sciences/Library/Physical Education	Maximum points
1. A.	Research Papers Published in	Non-refereed but recognized and reputable Journals and Periodicals having ISBN/ISSN Numbers.	Non-refereed but recognized and reputable Journals and Periodicals having ISBN/ISSN Numbers.	10/Publication
		Refereed Journals (indexed without any impact factor)	Refereed Journals (indexed without any impact factor)	15/Publication
		Refereed Journals (indexed with impact factor less than 1)	Refereed Journals (indexed with impact factor less than 1)	20/Publication
		Refereed Journals (indexed and with impact factor between 1 and 2)	Refereed Journals (indexed and with impact factor between 1 and 2)	25/Publication
		Refereed Journals (indexed and with impact factor between 2 and 5)	Refereed Journals (indexed and with impact factor between 2 and 5)	30/Publication
		Refereed Journal (indexed and with impact factor between 5 and 10)	Refereed Journal (indexed and with impact factor between 5 and 10)	40/Publication
1. B.	Research Papers Published in	Conference proceedings published	Conference proceedings published	10/Publication

2. Research Publications (Books, Articles/Chapters in books)

Sr. No.	APIs	Engineering/ Technology/ Science/ Architecture/ Pharmacy	Management/ Languages/ Arts /Humanities/ Social Sciences/Library/Physical Education	Maximum points
2.A.	Research Publications (Books, Chapters in books, other than refereed Journal articles)	Text or Reference books published by International Publishers with an established peer review system	Text or Reference books published by International Publishers with an established peer review system	50/ book and 10/chapter in an edited book.
		Subject Books by National level/State and central Govt. Publications with ISBN/ISSN Numbers	Subject Books by National level/State and central Govt. Publications with ISBN/ISSN Numbers	25/ book and 05/chapter in an edited book.
		Subject books by other local publication with ISBN/ ISSN numbers	Subject books by other local publication with ISBN/ ISSN numbers	15/ book and 03/chapter in an edited book.
		Chapters contributed to edited knowledge based volumes published by International publisher	Chapters contributed to edited knowledge based volumes published by International publisher	10/chapter
		Chapters in knowledge based volumes published by Indian/National level publisher with ISSN /ISBN number with numbers of national and international directories	Chapters in knowledge based volumes published by Indian/National level publisher with ISSN /ISBN number with numbers of national and international directories	05/chapter

3. Research Projects

Sr. No.	APIs	Engineering/ Technology/ Science/ Architecture/ Pharmacy	Management/ Languages/ Arts /Humanities/ Social Sciences/Library/Physical Education	Maximum points
3.A.	Sponsored Projects carried out /ongoing	(a) Major Projects Amount mobilized with grants above 30.0 lakhs	Major Projects Amount mobilized with grants above 5.0 lakhs	20/ each Project
		(b) Major Projects Amount mobilized with grants above 5.0 lakhs up to 30.00 lakhs	Major Projects Amount mobilized with minimum of Rs 3.00 lakhs up to Rs. 5.0 lakhs	15/ each Project
		(c) Minor Projects (amount mobilized with grants above Rs. 50.000 up to Rs.5 lakhs)	Minor Projects (amount mobilized with grants above Rs. 25.000 up to Rs.3 lakhs)	10/each Project
3.B.	Consultancy Projects carried out/ongoing	Amount mobilized with minimum of Rs. 10.00 lakh	Amount mobilized with minimum of Rs. 2.0 lakhs	10 per every 10.0 Lakhs and 2.0 Lakhs respectively
3.C.	Completed projects Quality Evaluation	Completed Project Report (Acceptance from funding agency)	Completed Project Report (Acceptance by funding agency)	20/each major project and 10 /each minor project
3.D.	Projects Outcome/ Outputs	Patent/Technology Transfer /Product/Process	Major Policy document of Govt. Bodies at Central and State level	30/ each National level output or patent/50/each for International level.

4. Research Guidance

	Research Guidance	Maximum Point:
4. A.	Guidance of PG Thesis of minimum duration of 1 year in full time (Degree Awarded)	3/Thesis
4. B.	Guidance of Ph.D. degree	
	Degree awarded	10/ Thesis
	Thesis submitted	7/ Thesis

5. Training Courses and Conference/Seminar/Workshop Papers

	Training Courses and Conference/Seminar/Workshop Papers			Maximum Point
5. A.	Refresher courses, Methodology workshops, Training, Teaching- Learning-Evaluation Technology Programmes, Soft Skill Development Programmes, Faculty Development Programmes	a) Not less than two weeks duration	a) Not less than two weeks duration	20/each
		b) One week duration	b) One week duration	10/each
	Maximum points that can be claimed			30
5. B.	Papers in Conferences /Seminars/Workshops etc.	<i>Participation and Presentation of research papers (oral/poster) in</i>	<i>Participation and Presentation of research papers (oral/poster) in</i>	
		a) International conference	a) International conference	10/each
		b) National	b) National	7.5/each
		c) Regional/State level	c) Regional/State level	5/each
		d) Local-University /College level	d) Local-University/College level	3/each
5.C.	Organization of Conferences/Seminars / Workshops etc. of duration of minimum 1 week	a) International conference	a) International conference	10/each
		b) National	b) National	7.5/each
		c) Regional/State level	c) Regional/State level	5/each
		d) Local-University /College level	d) Local-University/College level	3/each
5.D.	Invited lectures or presentations for conferences / symposia	a) International	a) International	10/each
		b) National level	b) National level	5/ each

Note:- Please attach the complete Performance Based Appraisal System (PBAS) form for the calculation of Academic Performance Indicators (Research and Academic Contributions) excluding the Guidelines for filling PBAS form with the application form.

Clarifying Notes

1. API scores for indexed publications may be claimed for publications indexed in indexing agencies appropriate to candidate's discipline as approved by the Academic Council.
2. If the publication is single authored, the faculty shall get full points, but if co-authored, the first/ principal author/ corresponding author/Supervisor /mentor may claim 60% weightage and the remaining authors may claim 40% weightage.
3. API points claimed under 1.B. shall not be claimed again in 5.B. (Conferences/Seminars/Workshops etc.)
4. Points shall not be claimed for Abstracts only.
5. In case of joint authors for books, book chapters etc., the first/ corresponding author may claim 60% weightage and the remaining authors may claim 40% weightage.
6. The Principal Investigator and Co-Principal Investigator for a sponsored project may claim 60% and 40% weightage respectively.
7. In case of joint supervision of research, the guide or co-guide (if any) may claim full points.
8. One week shall mean 5 continuous working days.
9. For organization of Conference/Seminar/Workshop etc. the Co-ordinator, Convener, Organizing Secretary, and Treasurer may claim 60% weightage and other members of the Organizing Committee may claim 40% weightage.

Attach the following documents in prescribed order:

1. The first page of publications in National /International Journals with the details like whether refereed/indexed, on line/Print and also the Impact factor if any. All the publications shall be arranged in the order of latest to the earliest.
2. The first page of National /International Conference proceedings (if published). All the publications shall be shall be arranged in the order latest to the earliest.
3. Cover page of any subject book published (with ISBN/ISSN numbers) indicating whether the publishers are International/National, State and Central Govt. or Local.
4. The first page of the chapters, if published with the cover page of the book with all details mentioned above as clause III.
5. The first page of the chapters contributed to edited knowledge based volumes with all the details mentioned above as in III.
6. Details of any Sponsored projects, Consultancy projects, (including sanction letter) Completed projects with quality evaluation certificates with the name of the project, funding agency, duration and the amount mobilized.

7. Details of any Patent/ Technology transfer/ Product or Process developed indicating the beneficiary, whether Government bodies at Central or State level/National or International level.
8. PG Thesis of minimum one year duration (in full time) guided, indicating whether degrees have been awarded.
9. Details of Ph. D. Scholars guided clearly indicating whether degrees are awarded or thesis submitted only.
10. Certificates of the Refresher Courses, Workshops, Training, Orientation Courses, Teaching Learning Evaluation Technology, Soft skill Development Programs, Faculty Development Programs attended with the name of the Organizing Agency and the duration. The photocopies of the certificates of such courses attended are to be attached. Please note that any such program of duration less than 5 continuous working days need not be included.
11. The details of the Research (oral / posters) Papers presented in any Conference or Seminars/Workshops with the details of the Conference/Seminar/Workshop like the name of the organizer, duration, and venue and whether it is International/ National /Regional/State/Local/ University/College Level. Please note that if the paper has already been published in any proceedings, they are not to be included in 5.B as they are already included in 1.B. Also furnish the details, if the faculty has participated only or participated and presented the research paper. Please note simple participation does not earn any point.
12. The details of any Conference/Seminars/Workshops etc. organized with the details of Conference/Seminar/Workshop etc like the name of organization, duration and venue and whether it is International/National/Regional/State/Local/ University/College level. Appropriate documentary proof shall be attached.
13. Details of any invited lectures or presentations credited to the faculty in any conference/ symposia with the details like name of the Conference/Symposia, Venue, duration, topic presented mentioning whether the Conference/Symposia is of International /National Level. Appropriate documentary proof shall be attached.